

12/11/01

JG600 U.S. PTO

Please type a plus sign (+) inside this box → ☒PTO/SB/05 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. SL-04

First Inventor Johnson, David E.

Title Shrink Sleeve For Contoured Articles

Express Mail Label No. EL 565050424 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 15]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☐ Other: Assignment Recordation Sheet

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information.

Examiner

of prior application No. _____

Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

24985

(Insert Customer No. or Attach bar code label here)

or

☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Kenneth S. Watkins, Jr.

Registration No. (Attorney/Agent)

37466

Signature

Date

12/11/01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete if Known

Application Number _____
 Filing Date _____
 First Named Inventor **Johnson, David E.**
 Examiner Name _____
 Group Art Unit _____
 Attorney Docket No. **SL-04**

TOTAL AMOUNT OF PAYMENT (\$) **548.00**

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit
Account
Number

Deposit
Account
Name

☐ Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

101 740 201 370 Utility filing fee
 106 330 206 165 Design filing fee
 107 510 207 255 Plant filing fee
 108 740 208 370 Reissue filing fee
 114 160 214 80 Provisional filing fee

Fee Paid

370.00

SUBTOTAL (1) (\$) **370.00**

2. EXTRA CLAIM FEES

Total Claims **26** - 20** = **6** X **9.00** = **54.00**
 Independent Claims **5** - 3** = **2** X **42.00** = **84.00**
 Multiple Dependent _____ = _____

Large Entity Small Entity

Fee Fee Fee Fee Fee Description
Code (\$) Code (\$) Code (\$)

103 18 203 9 Claims in excess of 20
 102 84 202 42 Independent claims in excess of 3
 104 280 204 140 Multiple dependent claim, if not paid
 109 84 209 42 ** Reissue independent claims
over original patent
 110 18 210 9 ** Reissue claims in excess of 20
and over original patent

SUBTOTAL (2) (\$) **138.00**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Small
Entity Entity

Fee Fee Fee Fee
Code (\$) Code (\$) Code (\$)

Fee Description

Fee Paid

105 130 205 65 Surcharge - late filing fee or oath
 127 50 227 25 Surcharge - late provisional filing fee or
cover sheet
 139 130 139 130 Non-English specification
 147 2,520 147 2,520 For filing a request for *ex parte* reexamination
 112 920* 112 920* Requesting publication of SIR prior to
Examiner action
 113 1,840* 113 1,840* Requesting publication of SIR after
Examiner action
 115 110 215 55 Extension for reply within first month
 116 400 216 200 Extension for reply within second month
 117 920 217 460 Extension for reply within third month
 118 1,440 218 720 Extension for reply within fourth month
 128 1,960 228 980 Extension for reply within fifth month
 119 320 219 160 Notice of Appeal
 120 320 220 160 Filing a brief in support of an appeal
 121 280 221 140 Request for oral hearing
 138 1,510 138 1,510 Petition to institute a public use proceeding
 140 110 240 55 Petition to revive - unavoidable
 141 1,280 241 640 Petition to revive - unintentional
 142 1,280 242 640 Utility issue fee (or reissue)
 143 460 243 230 Design issue fee
 144 620 244 310 Plant issue fee
 122 130 122 130 Petitions to the Commissioner
 123 50 123 50 Processing fee under 37 CFR 1.17(q)
 126 180 126 180 Submission of Information Disclosure Stmt
 581 40 581 40 Recording each patent assignment per
property (times number of properties)
 146 740 246 370 Filing a submission after final rejection
(37 CFR § 1.129(a))
 149 740 249 370 For each additional invention to be
examined (37 CFR § 1.129(b))
 179 740 279 370 Request for Continued Examination (RCE)
 169 900 169 900 Request for expedited examination
of a design application

40.00

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **40.00**

SUBMITTED BY

Name (Print/Type) **Kenneth S. Watkins, Jr.** Registration No. **37466** Telephone **(706) 864-6304**
 Signature *[Signature]* Date **12/11/01**

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